

Appendix 1

Protecting the English Public Purse 2015

Checklist for those Responsible for Combating Fraud & Corruption

	Y/N	Comments
Do we have a zero tolerance policy towards fraud?	Y	Zero tolerance towards fraud is identified within the Counter Fraud & Corruption Policy Statement, Strategy & Guidance Notes.
Does our fraud and corruption detection results demonstrate that commitment to zero tolerance?	Y	The Authority has a zero tolerance approach to fraudulent activity. Prior to transfer to the Single Fraud Investigation Service, the Investigations Manager and Benefits Investigations Officer both liaised closely with the Department for Work and Pensions, who undertook all court / prosecution actions for Benefit fraud. All successful prosecutions were actively published as an anti fraud measure. The majority of non Benefit fraud has been undertaken by Internal Audit but these are normally reactive in nature – where fraud is identified cases are referred to the Police for further investigation as internal resource is limited. The Council recognised the need for resource to investigate Council Tax Reduction fraud, Tenancy fraud and Council Tax Discount/Business Rates fraud.

		Therefore, following transfer of the Benefits Investigations Officer to the Single Fraud Investigation Service to the DWP on the 1 st September 2015, the Authority proactively retained the Investigations Manager, after successful interview, and created an Corporate Anti Fraud Investigations Officer post as a further proactive measure to detect Corporate Fraud over a range of Council activities.
Do we have a corporate fraud team?	Y	As from the 1 st September 2015, we will have a Corporate Anti Fraud Investigations Officer reporting to Internal Audit.
Does a councillor have portfolio responsibility for fighting fraud across the Council?	Y	The portfolio holder for operations and assets has the responsibility for fighting fraud across the authority.
Have we assessed our council against the TEICCAF fraud detection benchmark analysis (available autumn 2015).	N	The fraud detection benchmark analysis tool will be completed once it is released..
Does that benchmark analysis of fraud detection identify any fraud types which we should give greater attention to?	N/A	Fraud detection benchmark analysis will be used to identify any trends / fraud types once it is released
Are we confident we have sufficient counter-fraud capacity and capability to detect and prevent non-benefit (corporate) fraud, once SFIS has been fully implemented?	Y	As from the 1 st September 2015, we will have a Corporate Anti Fraud Investigations Officer.

<p>Do we have appropriate and proportionate defences against the emerging fraud risks, in particular:</p> <ul style="list-style-type: none"> ▪ Right to Buy Fraud ▪ No Recourse to Public Funds fraud 	<p>Y</p> <p>Y</p>	<p>Procedures and controls are in place to detect potential fraudulent action. In addition staff have been made aware of the emerging fraud risk. In addition, an audit was completed on RTB's in 2014/15 because of the emerging fraud risks to ensure that procedures and controls are in place.</p> <p>Procedures and controls are in place to detect potential fraudulent action. In addition staff have been made aware of potential fraud risk / activity. No issues have been detected as no requests for this funding source have been received – the situation and is being monitored</p>
---	----------------------	---

This page is intentionally left blank